

General information about company	
Scrip code	532737
NSE Symbol	EMKAY
MSEI Symbol	NOTLISTED
ISIN	INE296H01011
Name of the company	Emkay Global Financial Services Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	7	18353984			18353984	74.49	18353984		18353984	74.49
(B)	Public	14746	6286246			6286246	25.51	6286246		6286246	25.51
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	14753	24640230			24640230	100	24640230		24640230	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				74.49			6701484	36.51	18353984			
(B)	Public				25.51					6282239	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100			6701484	27.2	24636223	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	13502500			13502500	54.8	13502500		13502500	54.8
(d)	Any Other (specify)	1	4851484			4851484	19.69	4851484		4851484	19.69
Sub-Total (A)(1)		7	18353984			18353984	74.49	18353984		18353984	74.49
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	18353984			18353984	74.49	18353984		18353984	74.49
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(i)	NBFCs registered with RBI	1	2700			2700	0.01	2700		2700	0.01
Sub-Total (B)(1)		1	2700			2700	0.01	2700		2700	0.01
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	1	187107			187107	0.76	187107		187107	0.76
Sub-Total (B)(2)		1	187107			187107	0.76	187107		187107	0.76
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	2	5576			5576	0.02	5576		5576	0.02
(f)	Investor Education and Protection Fund (IEPF)	1	13194			13194	0.05	13194		13194	0.05
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	14161	3505880			3505880	14.23	3505880		3505880	14.23
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	18	1436584			1436584	5.83	1436584		1436584	5.83
(i)	Non Resident Indians (NRIs)	214	192988			192988	0.78	192988		192988	0.78
(l)	Bodies Corporate	62	121285			121285	0.49	121285		121285	0.49
(m)	Any Other (specify)	286	820932			820932	3.33	820932		820932	3.33
Sub-Total (B)(4)		14744	6096439			6096439	24.74	6096439		6096439	24.74
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		14746	6286246			6286246	25.51	6286246		6286246	25.51
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		14753	24640230			24640230	100	24640230		24640230	100
Total (A+B+C )		14753	24640230			24640230	100	24640230		24640230	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				54.8			1850000	13.7	13502500			
(d)				19.69			4851484	100	4851484			
Sub-Total (A) (1)				74.49			6701484	36.51	18353984			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				74.49			6701484	36.51	18353984			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(i)				0.01					2700	0	0	0
Sub-Total (B) (1)				0.01					2700	0	0	0
(2)	Institutions (Foreign)											
(d)				0.76					187107			
Sub-Total (B) (2)				0.76					187107	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(c)				0.02					5576	0	0	0
(f)				0.05					13194	0	0	0
(g)				14.23					3501873	0	0	0
(h)				5.83					1436584	0	0	0
(i)				0.78					192988	0	0	0
(l)				0.49					121285	0	0	0
(m)				3.33					820932	0	0	0
Sub-Total (B) (4)				24.74					6092432	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				25.51					6282239	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )				100					24636223			
Total (A+B+C )				100			6701484	27.2	24636223			

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Krishna Kumar Karwa	Prakash Kacholia	Priti Prakash Kacholia	Raunak Karwa	Krishna Kumar Karwa (For Krishna Kumar Karwa HUF)	Krishna Kumar Karwa (For Murlidhar Karwa HUF)
PAN (II)	AACPK9149E	AADPK7247C	AADPK7245A	ACVPK0232B	AACHK2851D	AABHM6186L
No. of fully paid up equity shares held (IV)	4922500	4750000	1880000	1750000	100000	100000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4922500	4750000	1880000	1750000	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	4922500	4750000	1880000	1750000	100000	100000
Class eg:y						
Total	4922500	4750000	1880000	1750000	100000	100000
Total as a % of Total Voting rights	19.98	19.28	7.63	7.1	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	1850000	0	0	0	0
As a % of total Shares held (b)	0	38.95	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	4922500	4750000	1880000	1750000	100000	100000
Reason for not providing PAN						



Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family	
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13502500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13502500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.8
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	13502500
Class eg:y	
Total	13502500
Total as a % of Total Voting rights	54.8
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	54.8
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	1850000
As a % of total Shares held (b)	13.7
Number of equity shares held in dematerialized form (XIV)	13502500
Reason for not providing PAN	

Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Emkay Corporate Services Private Limited	
PAN (II)	AAACE0995M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4851484	4851484
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4851484	4851484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.69	19.69
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4851484	4851484
Class eg:y		
Total	4851484	4851484
Total as a % of Total Voting rights	19.69	19.69
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.69	19.69
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	4851484	4851484
As a % of total Shares held (b)	100	100

Number of equity shares held in dematerialized form (XIV)	4851484	4851484
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	1	
Name of the Shareholders (I)	Rajiv P Shroff	<a href="#">Click here to go back</a>
PAN (II)	AARFM5001G	Total
No. of fully paid up equity shares held (IV)	847744	847744
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	847744	847744
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.44	3.44
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	847744	847744
Class eg:y		
Total	847744	847744
Total as a % of Total Voting rights	3.44	3.44
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.44	3.44
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	847744	847744
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)					
Searial No.	1	2	3	4	
Category	Bodies Corporate	HUF	Clearing Members	Others	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)				Office bearers	<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	4	256	2	24	286
No. of fully paid up equity shares held (IV)	259801	317911	62572	180648	820932
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	259801	317911	62572	180648	820932
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	1.29	0.25	0.73	3.32
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	259801	317911	62572	180648	820932
Class eg:y					
Total	259801	317911	62572	180648	820932
Total as a % of Total Voting rights	1.05	1.29	0.25	0.73	3.32
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	1.29	0.25	0.73	3.32
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	259801	317911	62572	180648	820932



Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

significant beneficial owners														
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the reporting company, whether direct			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exerci of contro
1	Krishna Kumar Karwa	AACPK9149E		India		Emkay Corporate Services Pvt. Ltd	AAACE0995M		India		9.85	9.85	9.85	Yes
Total:											9.85	9.85	9.85	
2	Prakash Kacholia	AADPK7247C		India		Emkay Corporate Services Pvt. Ltd	AAACE0995M		India		9.85	9.85	9.85	Yes
Total:											9.85	9.85	9.85	

